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TO : USPTO Central Facsimile NumberCOMPANY/FIRM : U.S. Patent and Trademark OfficeFACSIMILE NO.: (571) 273-8300FROM : Alan J. Morrison, Esq./JCSTOTAL NUMBER OF PAGES, INCLUDING COVER PAGE: 10DATE : November 14, 2005 Time: 3:45 p.m.CLIENT/DOCKET: U.S. Serial No. 09/851,071; Our Docket 0575/55424-2

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Docket No. 0575/55424-2/JPW/AJM/JCSIN THE UNITED STATES PATENT AND TRADEMARK OFFICERECEIVED  
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Applicant(s): Ann Marie Schmidt, et al. NOV 14 2005  
 Serial No. : 09/851,071 Examiner: S. Kaushal  
 Filed : May 8, 2001 Group Art Unit: 1636  
 For : A Method for Inhibiting Tumor Invasion or Spreading  
in a Subject

Mail Stop Amendment  
 COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Date: November 14, 2005

Sir:

Transmitted herewith is an amendment to the above-identified application.

X Small entity status of this application under 37 C.F.R. \$1.9 and \$1.27 has been previously established.

       A verified statement to establish small entity status under 37 C.F.R. \$1.9 and \$1.27 is enclosed.

X No additional fee is required.

The filing fee is calculated as follows:

	Number after Amend-ment	Highest Number Previously Paid For <sup>1</sup>	Number of Extra Claims Presented	RATE		FEE	
				Small Entity	Other Entity	Small Entity	Other Entity
Total Claims	8 -	* 22 =	*** 0 X	\$25	\$50	= 0	
Indepen- dent Claims	1 -	** 3 =	*** 0 X	\$100	\$200	= 0	
Multiple Dependent Claim(s) Presented For First Time <u>      </u> Yes <u>X</u> No				\$180	\$360	= 0	
				TOTAL ADDITIONAL FEE \$ 0.00			

The "HIGHEST NUMBER PREVIOUSLY PAID FOR" (Total or Independent) is the highest of the "NUMBER AFTER AMENDMENT" in any prior amendment or the number of claims originally filed.  
 \* If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 20, write "20" in this space.  
 \*\* If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 3, write "3" in this space.  
 \*\*\* If the difference between the "NUMBER AFTER AMENDMENT" and the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than "0", write "0".

JPW Rev. 2-4-05

Applicant(s): Ann Marie Schmidt, et al.Serial No. : 09/851,071Filed : May 8, 2001

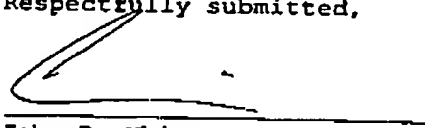
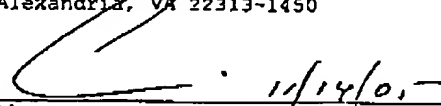
Amendment Transmittal Letter

Page 2

The following are also enclosed:

☒ One additional copy of this Amendment Transmittal Letter☒ Return Receipt Postcard☐ An Information Disclosure Statement, including Form PTO-1449(Copies of citations included: Yes ☐ No ☐  
and a fee of \$ ☐ included)☐ A Petition for an Extension of Time, including a fee of  
\$ ☐ for a Petition for ☐ Month(s) Extension of Time☐ Other (identify): \_\_\_\_\_  
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\_\_\_\_\_THE TOTAL FEE DUE IS \$ 0.00.☐ A check in the amount of \$ ☐ is enclosed.☐ Please charge Deposit Account No. ☐ in the amount of  
\$ ☐.☒ The Commissioner is hereby authorized to charge any additional fees  
required or credit any overpayment to Deposit Account No. 03-3125  
as follows:☒ Fees under 37 C.F.R. \$1.16 for the presentation of extra claims  
☐ Patent application processing fees under 37 C.F.R. \$1.17

Respectfully submitted,

  
John P. White  
Registration No. 28,678  
Alan J. Morrison  
Registration No. 37,399  
Attorneys for Applicant(s)  
Cooper & Dunham LLP (Customer #23432)  
1185 Avenue of the Americas  
New York, New York 10036  
(212) 278-0400I hereby certify that this  
correspondence is being transmitted  
this date by facsimile to:Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Alan J. Morrison  
Reg. No. 37,399Date  
11/14/05

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and a fee of \$ ☐ included)

☐ A Petition for an Extension of Time, including a fee of  
\$ ☐ for a Petition for ☐ Month(s) Extension of Time

☐ Other (identify): ☐

THE TOTAL FEE DUE IS \$ 0.00.

☐ A check in the amount of \$ ☐ is enclosed.

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required or credit any overpayment to Deposit Account No. 03-3125  
as follows:

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Respectfully submitted,

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Commissioner for Patents  
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Alexandria, VA 22313-1450

Alan J. Morrison  
Reg. No. 37,399

Date

John P. White  
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Dkt. 0575/55424-Z/JPW/AJM/JCS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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New York, New York 10036  
November 14, 2005

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Commissioner for Patents  
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SIR:

SECOND PRELIMINARY AMENDMENT

This Second Preliminary Amendment is submitted further to a November 9, 2005 telephonic interview between Examiner Sumesh Kaushal and applicants' undersigned attorney, Alan J. Morrison, Esq., in connection with the above-identified application.